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Attorney's Docket No. 42P13843D

## LJV/cak (11/07/00)

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

       37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

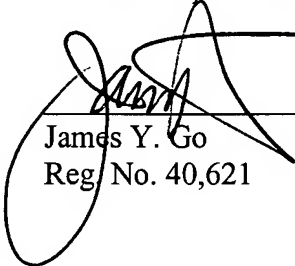
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

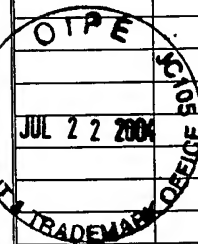
Dated: 7-20-04

  
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<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/808,787
				Filing Date	March 23, 2004
				First Named Inventor:	MORSE
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	42P13843D

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	1.	US-	4,371,966	02-01-1983	Scifres et al.	
	2.	US-	4,911,516	03-27-1990	Palfrey et al.	
	3.	US-	5,078,516	01-07-1992	Kapon et al.	
	4.	US-	5,121,182	06-09-1992	Kuroda et al.	
	5.	US-	5,574,742	11-12-1996	Ben-Michael et al.	
	6.	US-	5,720,893	02-24-1998	Ben-Michael et al.	
	7.	US-	5,987,046	11-16-1999	Kobayashi et al.	
	8.	US-	5,990,530	11-23-1999	Suzuki	
	9.	US-	6,200,502 B1	03-13-2001	Paatzsch et al.	
	10.	US-	6,380,092 B1	04-30-2002	Annapragada et al.	
	11.	US-	6,381,380 B1	04-30-2002	Forrest et al.	
	12.	US-	6,411,764 B1	06-25-2002	Lee	
	13.	US-	6,483,863 B2	11-19-2002	Forrest et al.	

### FOREIGN PATENT DOCUMENTS

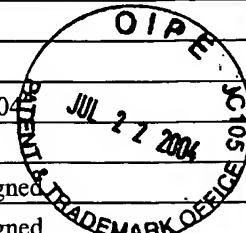
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	14.	PCT/US	03/12364		08/08/2003	International Search Report		
	15.	PCT/US	03/11833		10/02/2003	International Search Report		
	16.	JP	52137359		11/16/1977	SUMITOMO ELECTRIC IND. LTD		
	17.	JP	53106152		09/14/1978	NEC CORPORATION		
	18.	JP	05011131		01/19/1993	SHIMADZU CORPORATION		
	19.	JP	09297235		11-18-1997	HITACHI CABLE LTD		
	20.	JP	11284061		10-15-1999	KAWASAKI STEEL CORP.		
	21.	EP	0 821 250 A1		01/28/1998	SCHNEIDER ELECTRIC SA		
	22.	WO	95/13553		05/18/1995	SCHNEIDER ELECTRIC SA		
	23.	WO	00/36442		06-22-2000	BRAIMAN		

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Substitute for Form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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Sheet	2	of	2		
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
	24.	LAYADI, A., et al., "Low-Loss Optical Waveguide On Standard SOI/SIMOX Substrate," Elsevier Science B.V., Optics Communications, Vol. 146, No. 1-6, January 15, 1998, pp. 31-33, North-Holland Publishing Co., Amsterdam, NL.			
	25.	WEISS, B.L., et al., "Optical Waveguides in SIMOX Structures," IEEE Photonics Technology Letters, Vol. 3, No. 1, January 1991, pp. 19-21, NY, US.			
	26.	RICKMAN, A., et al., "Low-Loss Planar Optical Waveguides Fabricated in SIMOX Material," IEEE Photonics Technology Letters, Vol. 4, No.6, June 1, 1992, pp. 633-635, NY, US.			
	27.	VON BIBRA, M.L., et al., "Ion Beam Energy Attenuation For Fabrication Of Buried Variable-Depth, Optical Waveguides," Elsevier Science B.V., Nuclear Instruments & Methods In Physics Research, Section B: Beam Interactions with Materials and Atoms, Vol. 168, No. 1, May 2000, pp. 47-52, North-Holland Publishing Co., Amsterdam, NL.			
	28.	BRENNER, T., et al., "Vertically Tapered InGaAsP/InP Waveguides for Highly Efficient Coupling to Flat-End Single-Mode Fibers," American Institute of Physics, Vol. 65, August 15, 1994, pp. 798-800, Woodbury, NY, US.			



Examiner Signature		Date Considered	
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